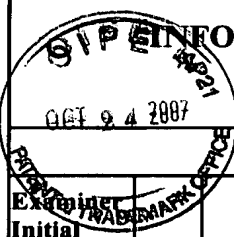


Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
75723-ZA/JPW/GJGSerial No.  
10/037,341INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)Applicants  
David Baltimore et al.Filing Date  
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## U.S. PATENT DOCUMENTS

Examiner's Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	June 9, 2006 Complaint, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	July 12, 2006 Notice of Hearing, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	August 2, 2006 Memorandum Of Law In Support Of Defendant's Motion To Dismiss Or In The Alternative For Summary Judgement And Opposition To Plaintiffs' Motion For Summary Judgment, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	August 2, 2006 Statement Regarding Eli Lilly & Company's Motion To Intervene And For Leave To File, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	August 14, 2006 Notice Of Filing, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	August 14, 2006 Plaintiffs' Brief in Opposition To Motion To Intervene By Eli Lilly and Company, <i>Ariad Pharmaceuticals, Inc. V. Jon W. Dudas</i> , Civil Action 1:06cv679 (CMH/BRP)
	August 14, 2006 Plaintiffs' Brief In Reply To Defendant's Motion To Dismiss Or In the Alternative For Summary Judgment And

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	<b>Applicants</b> David Baltimore et al.	
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		Opposition To Plaintiffs' Motion For Summary Judgment, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679 (CMH/BRP)
		August 28, 2006 Reply Memorandum In Support Of Defendant's Motion To Dismiss, Or, In the Alternative, For Summary Judgment, Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679
		October 3, 2006 Order (Defendant's Motion to Dismiss), Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679
		October 3, 2006 Order (Eli Lilly & Company's Motion to Intervene), Ariad Pharmaceuticals, Inc. V. Jon W. Dudas, Civil Action 1:06cv679
		August 2, 2006 Defendant Eli Lilly And Company's Pre-Trial Brief, Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company, Civil Case 02 CV 11280 RWZ
		August 2, 2006 Plaintiffs' Supplemental Trial Brief, Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company, Civil Case 02 CV 11280 RWZ
		September 11, 2006 Plaintiffs' Proposed Findings of Fact and Conclusions of Law on the issues of Inequitable Conduct,

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	Indefiniteness, Prosecution Laches, and Non-Patentable Subject Matter, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ
	September 11, 2006 Lilly's Post-Trial Proposed Findings Of Fact And Conclusions Of Law Relating To (1) Invalidity Under 35 U.S.C. §101, (2) Unenforceability For Inequitable Conduct, And (3) Unenforceability For Prosecution Laches, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ
	September 29, 2006 Plaintiffs' Reply To Lilly's Proposed Findings of Fact And Conclusions of Law On the Issues of (1) Patentability Under 35 U.S.C. §101, (2) Inequitable Conduct, and (3) Prosecution Laches, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ
	September 29, 2006 Lilly's Response To Ariad's Proposed Findings of Facts And Conclusions of Law, <i>Ariad Pharmaceuticals, Inc. V. Eli Lilly and Company</i> , Civil Case 02 CV 11280 RWZ
	April 20, 2006 Complaint For Declaratory Judgment Of Patent Invalidity And Non-Infringement, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action No. _____
	April 20, 2006 Civil Cover Sheet, <i>Amgen, Inc. V. Ariad Pharmaceuticals, Inc.</i> , Civil Action CA _____

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### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	April 20, 2006 Report On The Filing or Determination of an Action Regarding a Patent or Trademark, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action CA 06-259
	June 14, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State a Claim, And Failure To Join Indispensable Parties, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	June 14, 2006 Declaration of Laurie A. Allen (including Exhibits A-D), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	June 14, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Opening Memorandum of Law In Support Of Its Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State A Claim For which Relief May Be Granted, And Failure To Join Indispensable Parties (including Tab 1), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	June 28, 2006 Declaration of Paul Cantrell, Esq. Signed May 18, 2006, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ)
	June 28, 2006 Declaration of Melanie K. Sharp (including Exhibits A-L), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ)

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		June 26, 2006 Declaration of Frank Ungemach (including Exhibits A-H), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action No. 1:06-cv-259 (KAJ)
		June 28, 2006 Plaintiffs' Opposition To Ariad's Motion To Dismiss For Lack of Subject Matter Jurisdiction, Failure To State a Claim, And Failure to Join Indispensable Parties (including Exhibits A-D unreported cases), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		July 12, 2006 Declaration of Fritz Casselman, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		July 13, 2006 Declaration of Patricia Carson, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		July 13, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Reply Memorandum of Law In Support of its Motion to Dismiss For Lack of Subject Matter Jurisdiction, Failure to State a Claim For Which Relief May Be Granted, And Failure To Join Necessary And Indispensable Parties, Amgen, Inc.
		July 12, 2006 Supplemental Declaration of Laurie A. Allen, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ

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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
		Proposed Final Pretrial Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		July 19,, 2006 Scheduling Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		July 19, 2006 Trial Management Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		July 21, 2006 Letter to Judge Jordan from Melanie K. Sharp of Young Conaway Stargatt & Taylor, LLP, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		July 25, 2006 Letter to Judge Jordan from Steven J. Balick of Ashby & Geddes, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		August 30, 2006 Letter to Judge Jordan from Steven J. Balick of Ashby & Geddes (including Exhibits A & B), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
		August 31, 2006 Letter to Judge Jordan from Melanie K. Sharp of Young Conaway Stargatt & Taylor, LLP (including Exhibits 1 & 2), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ						
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		September 13, 2006 Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		September 25, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Motion Certification Pursuant to 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		September 25, 2006 Defendant Ariad Pharmaceuticals Inc.'s Opening Memorandum of Law In Support of Its Motion For Certification Pursuant To 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		September 25, 2006 Ariad Pharmaceuticals, Inc.'s Answer To Compliant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		September 25, 2006 Declaration of Elizabeth L. Rosenblatt In Support of Ariad Pharmaceuticals, Inc.'s Motion For Certification Pursuant To 28 U.S.C. §1292(b) (including Exhibits A-Q), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
		October 2, 2006 Ariad Opposition Ex. A-C, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	October 10, 2006 Plaintiffs' Memorandum in Opposition To Ariad's Motion For Certification Pursuant to 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	October 10, 2006 Amgen Appendix of Exhibits 1-2 To Plaintiffs' Memorandum in Opposition To Ariad's Motion For Certification Pursuant to 28 U.S.C. §1292(B), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	October 12, 2006 Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	October 17, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Reply Memorandum of Law In Support Of Its Motion For Certification Pursuant To 28 U.S.C. §1292(b), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	November 3, 2006 Order, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	July 7, 2006 Plaintiffs' First Set of Interrogatories to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	July 7, 2006 Plaintiffs' First Set of Requests for Production of Documents and Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ

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	July 26, 2006 Defendant's Rule 26(a)(1) Initial Disclosure, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	July 26, 2006 Plaintiffs' Initial Disclosures Pursuant to Federal Rule of Civil Procedure 26(a)(1), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	August 7, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Responses And Objections to Plaintiffs' First Set of Requests For Production of Documents and Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	September 27, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses And Objections To Plaintiffs' First Set of Requests for Production of Documents And Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	August 7, 2006 Defendant Ariad Pharmaceuticals, Inc.'s Responses And Objections To Plaintiff Amgen, Inc.'s First Set of Interrogatories to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ
	September 27, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses and Objections To Plaintiffs' First Set Of

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		Requests for Production of Documents and Things to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
		October 11, 2006 Defendant Ariad Pharmaceuticals, Inc.'s First Supplemental Responses and Objections to Plaintiff Amgen, Inc.'s First Set of Interrogatories to Defendant, Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
		October 26, 2006 Stipulated Protective Order Pursuant To Federal Rule of Civil Procedure 26(c), Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
		July 12, 2006 Telephone Conference before Honorable Kent A. Jordan, U.S.D.C.J., Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
		September 11, 2006 Motions Hearing before Honorable Kent A. Jordan, U.S.D.C.J., Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
		November 3, 2006 Motion Hearing before Honorable Kent A. Jordan, U.S.D.C.J., Amgen, Inc. V. Ariad Pharmaceuticals, Inc., Civil Action 06-259-KAJ					
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		Filing Date January 4, 2002	Page 11 of 44

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		January 22, 2007 Declaration of Elizabeth L. Rosenblatt in Support of Ariad Pharma., Inc.'s Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-*** (MPT)	
		January 23, 2007 Defendant Ariad Pharma., Inc.'s Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-*** (MPT)	
		January 23, 2007 Defendant Ariad Pharma., Inc.'s Opening Memorandum of Law in Support of Its Motion to Stay Litigation Pending Conclusion of Reexamination Proceedings in the Patent and Trademark Office (including Exhibits A-G), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-*** (MPT)	
		January 31, 2007 Plaintiffs' First Set of Requests for Admission to Defendant, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259 (***)	
		February 20, 2007 Transcript of Oral Argument Hearing before Hon. Mary Pat Thyng, U.S. Magistrate Judge, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259 (***)	
		February 22, 2007 Defendant Ariad Pharma., Inc.'s First Set of Requests for Production of Documents, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		February 22, 2007 Defendant Ariad Pharma., Inc.'s First Set of Interrogatories, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		March 7, 2007 Defendant Ariad Pharma., Inc.'s Responses and Objections to Plaintiffs' First Set of Requests for Admission to Defendant, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		March 26, 2007 Plaintiffs' Responses to Defendant's First Set of Requests for Production of Documents, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		March 26, 2007 Plaintiffs' Responses and Objections to Ariad's First Set of Interrogatories, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	

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		April 3, 2007 Plaintiffs' Multi-Media Materials (Technology Tutorial), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 3, 2007 Notice of Filing of Multi-Media Materials (Plaintiffs' Technology Tutorial), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 3, 2007 Notice of Filing of Multimedia Materials (including Tabs A and B), Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 3, 2007 Ariad Pharma., Inc. Technology Tutorial, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
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		April 13, 2007 Second Amended Complaint for Declaratory Judgment of Patent Invalidity and Non-Infringement, and Unenforceability, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 13, 2007 Answer to Amended Complaint, Counterclaim and Demand for Jury Trial, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 17, 2007 Letter to Judge Thyng from Steven J. Balick, Esq., Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		April 19, 2007 Defendant and Counterclaim Plaintiff Ariad's Second Set of Requests for Production of Documents to Amgen, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
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<b>Form PTO-1449</b>	<b>U.S. Department of Commerce Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 75723-ZA/JPW/GJG	<b>Serial No. 10/037,341</b>
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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		May 1, 2007 Amgen's Technology Tutorial, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		May 1, 2007 Ariad Pharma., Inc. Technology Tutorial, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		May 1, 2007 Transcript of Tutorial Hearing before Hon. Mary Pat Thyng, U.S. Magistrate Judge, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		May 3, 2007 Amgen Entities' Reply to Ariad, Harvard, MIT, and Whitehead's Counterclaim, Amgen, Inc. et al. v. Ariad Pharma., Inc., CA No. 06-259-MPT	
		Bottero et al. (2006) "NF-κB and the regulation of hematopoiesis," <i>Cell Death and Differentiation</i> , 13: 785-797	
		Doucas et al. (2000) "Cytoplasmic catalytic subunit of protein kinase A mediates cross-repression by NF-κB and the glucocorticoid receptor," <i>PNAS</i> , 97(22): 11893-11898	
		Withoff, S. et al. "Regulating the Master Regulator NF-κB: From Natural Strategies to Rationally Designed Superdrugs." in: Ghosh, S., <i>Handbook of Transcription Factor NF-κappaB</i> (Boca Raton, FL, CRC Press, 2007), pp. 195-211	

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		Lepper et al., "Clinical implications of antibiotic-induced endotoxin release in septic shock", Intensive Care Med (2002), 28:824-833								
		Shenep and Mogan, "Kinetics of Endotoxin Relaease During Antibiotic Therapy For Experimental Gram-Negative Bacterial Sepsis", The Journal of Infectious Diseases, Vol. 150, No. 3, (1984) 380-388								
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	April 20, 2007 Videotaped Deposition of Chen-Ming Fan, Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc. et al., D. Del. Civil Action No. 06-259-MPT
	April 24, 2007 Videotaped Deposition of Jonathan H. Lebowitz, Ph.D., Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc. et al., D. Del. Civil Action No. 06-259-MPT
	April 27, 2007 Videotaped Deposition of Harinder Singh, Ph.D., Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc. et al., D. Del. Civil Action No. 06-259-MPT
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	May 30, 2007 Defendant Ariad Pharmaceuticals, Inc.'s Second Supplemental Responses And Objections To Plaintiff's First Set of Requests For Admission To Defendant, Amgen, Inc. et al. v. Ariad Pharmaceuticals, Inc. et al., D. Del. Civil Action No. 06-259-MPT
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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Applicants</b> David Baltimore et al.	
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		July 16, 2007 Memorandum In Support of Eli Lilly And Company's Motion To Stay Entry of Judgement Pending Reexamination or For Settlement of Form of Final Judgement, Document 409, filed 07/16/2007, pgs. 1-18 in Civil Case 02 CV 11280 RWZ
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